



CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON October 22, 2002

Diana T. Halata  
ATTORNEY FOR APPLICANT

October 22, 2002  
DATE

Attorney Docket No. P30958C2-D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Farina, et al.  
Serial No.: 09/867,133  
Filed: May 29, 2001  
For: QUINOLINE DERIVATIVES

TRANSMITTAL LETTER

Transmitted herewith is "Response to Notice to File Corrected Application Papers - Filing Date Granted" in the above-identified application.

EXTENSION OF TIME PETITION

Applicants hereby petition for an extension of time for response from the date of the Examiner's action as needed, the fee being as follows:

|     |  |        |
|-----|--|--------|
| (X) | one month extension.....   | \$ 110 |
| ( ) | two months extension.....  | \$ 400 |
| ( ) | three months extension.....                                      | \$ 920 |
| ( ) | four months extension<br>(not beyond statutory time period)..... | \$1440 |
| ( ) | five months extension  | \$1960 |

Charge **\$110.00** to Deposit Account No. 19-2570. Two copies of this form are enclosed.

Please charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 19-2570. Also, should the Patent and Trademark Office determine that the fee calculated in the above extension petition is not deemed sufficient to have this response considered as being timely filed, this constitutes a petition for extension of time for the minimum period to effect timely filing, and the Commissioner is authorized to debit any necessary fee to said deposit account.

Respectfully submitted,

Nora Stein-Fernandez  
Nora Stein-Fernandez  
Attorney for Applicants  
Registration No. 36,689

10/30/2002 MAHME1 00000064 192570 09867133  
01 FC:1251 110.00 CH

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-5044  
Facsimile (610) 270-5090



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/867,133         | 05/29/2001  | 1711         | 710           | P30958C2-D1     |          | 11         | 1          |

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CONFIRMATION NO. 9517

## FILING RECEIPT



\*OC000000006207124\*

Date Mailed: 06/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Carlo Farina, Milan, ITALY;  
Giuseppe Arnaldo Maria Giardina, Milan, ITALY;  
Mario Grugni, Domodossola, ITALY;  
Luca Francesco Raveglia, Milan, ITALY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/450,437 05/25/1995

## Foreign Applications

ITALY MI94A001099 05/27/1994  
ITALY MI95A000494 03/14/1995

If Required, Foreign Filing License Granted 06/20/2001

Projected Publication Date: To Be Determined - pending completion of Omitted Items

Non-Publication Request: No

Early Publication Request: No

Title

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SMITHKLINE BEECHAM  
2001 JUN 25 P 2:22



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*Diane K. Halata*  
AGENT/ATTORNEY FOR APPLICANT

10/22/02

DATE

Attorney Docket No.: P30958C2-D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Farina, et al.  
Serial No.: 09/867,133  
Filing Date: May 29, 2001  
For: QUINOLINE DERIVATIVES

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NOV 26 2002

OFFICE OF PETITIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

This letter is in response to the "Notice to File Corrected Application Papers - Filing Date Granted" mailed on August 9, 2002, indicating that the Abstract was missing. Enclosed a copy of the "Notice to File Corrected Application Papers - Filing Date Granted" and the Abstract.

Applicants note there is an inconsistency with regard to the filing date listed. Applicants believe the filing date should be May 29, 2001, as indicated on the attached filing receipt, rather than the filing date of July 23, 2001, shown on the "Notice to File Corrected Application Papers-Filing Date Granted." As evidence of a May 29, 2001, filing date, enclosed is a copy of the return postcard dated May 29, 2001, from the USPTO. Accordingly, Applicants request confirmation of this filing date.

Applicants believe a fee is not required. Should the Patent and Trademark Office determine that a fee is required, please charge any additional fees to Deposit

Account No. 19-2570.

Adjustment date: 12/23/2002 AKELLEY  
10/30/2002 HAHMED1 00000064 192570 09867133  
01 FC:1251 110.00 CR

Adjustment date: 12/23/2002 AKELLEY  
12/04/2001 TV0111 00000003 192570 09867133  
01 FC:122 130.00 CR

Respectfully submitted,

*Nora Stein-Fernandez*  
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09867133-102902



DOCKET No. P30958C21 Date Mailed 5/29/01 Atty/Secy NSF/dwh  
MAILING: CERTIFICATE EXPRESS MAIL # EL231306825US

U.S. Serial No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_  
Int'l App. No.: \_\_\_\_\_ Int'l Filing Date: \_\_\_\_\_

RECEIPT IS ACKNOWLEDGED FOR THE FOLLOWING:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Application Transmittal for:<br><input type="checkbox"/> Provisional <input checked="" type="checkbox"/> Utility/Continuation or <input type="checkbox"/> CPA<br><input checked="" type="checkbox"/> Dec. & Power of Atty <u>3</u> pages ( <u>Divisional</u> )<br><input checked="" type="checkbox"/> Specification <u>92</u> pages <input checked="" type="checkbox"/> Abstract <u>1</u> pgs<br><input type="checkbox"/> Drawings _____ Sheet(s)/Figs _____ to _____<br><input type="checkbox"/> Assignment _____ pages & Recordation Cover Sheet<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> PTO-1449 _____ References<br><input type="checkbox"/> Amendment /Response _____ pages<br><input type="checkbox"/> Petition for Extension of Time plus 2 copies<br><input type="checkbox"/> Affidavit / Declaration _____ pages<br><input type="checkbox"/> Copy of Notice to File Missing Parts<br><input type="checkbox"/> Copy of Notice to Comply<br><input checked="" type="checkbox"/> Authorization to Charge Dep. Acct. # <u>19-2570</u> | <input type="checkbox"/> Statement to Support Filing<br><input type="checkbox"/> Diskette<br><input type="checkbox"/> Notice of Appeal<br><input type="checkbox"/> Appeal Brief _____ pages<br><input type="checkbox"/> Petition _____ pgs.<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> Issue Fee Trans. (Part B)<br><input type="checkbox"/> Priority Document<br><input type="checkbox"/> Trans. Ltr Nat'l Stage Entry<br><input type="checkbox"/> Nat'l Stage (2nd sub)<br><input type="checkbox"/> Req. to Correct Filing Recpt<br><input type="checkbox"/> Copy of Filing Receipt<br><input type="checkbox"/> Resp. to Written Opinion<br><input type="checkbox"/> Correct Defects _____ pages |
|---|--|

JC978 U.S. PTO  
09/867133





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/867,133         | 07/23/2001          | Carlo Farina          | P30958C2-D1            |

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CONFIRMATION NO. 9517  
FORMALITIES LETTER



\*OC000000008605456\*

Date Mailed: 08/09/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

*Thank V.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/26/2002 13:33:10

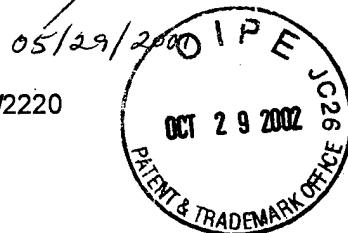


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 09/867,133         | 07/23/2001  | Carlo Farina          | P30958C2-D1            |

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King of Prussia, PA 19406-0939



CONFIRMATION NO. 9517

## WITHDRAWAL NOTICE



\*OC000000008605429\*

Date Mailed: 08/09/2002

## WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 12/04/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

2002 AUG 13 A 11:59  
RECEIVED  
GLAXOSMITHKLINE

*A copy of this notice **MUST** be returned with the reply.*

*Thak V*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

| REQUEST FOR PATENT FEE REFUND   |                                   |  |              |                       |
|---|-----------------------------------|--|--------------|-----------------------|
| 1 Date of Request: <u>12-19-02</u>  |                                   | 2 Serial/Patent # <u>09/867,133</u>  |              |                       |
| 3 Please refund the following fee(s):                                     |                                   | 4 PAPER NUMBER   | 5 DATE FILED | 6 AMOUNT              |
| <input type="checkbox"/>  | Filing                            |  |              | \$                    |
| <input type="checkbox"/>  | Amendment                         |  |              | \$                    |
| <input checked="" type="checkbox"/>                                       | Extension of Time                 | 7  | 10-29-02     | \$ 110. <sup>00</sup> |
| <input type="checkbox"/>  | Notice of Appeal/Appeal           |  |              | \$                    |
| <input checked="" type="checkbox"/>                                       | Petition                          | 7  | 10-29-02     | \$ 130. <sup>00</sup> |
| <input type="checkbox"/>  | Issue                             |  |              | \$                    |
| <input type="checkbox"/>  | Cert of Correction/Terminal Disc. |  |              | \$                    |
| <input type="checkbox"/>  | Maintenance                       |  |              | \$                    |
| <input type="checkbox"/>  | Assignment                        |  |              | \$                    |
| <input type="checkbox"/>  | Other                             |  |              | \$                    |
|   |                                   | 7 TOTAL AMOUNT OF REFUND   |              | \$ 240. <sup>00</sup> |
| 10 REASON:  |                                   | 8 TO BE REFUNDED BY:   |              |                       |
|   |                                   | <input type="checkbox"/> Treasury Check  |              |                       |
|   |                                   | <input checked="" type="checkbox"/> Credit Deposit A/C #:<br><div style="border: 1px solid black; display: inline-block; padding: 2px;">           1 9 -- 2 5 7 0         </div> |              |                       |
| <input checked="" type="checkbox"/> No Fee Due (Explanation):             |                                   |  |              |                       |
| <i>Notice mailed in error. No petition or extension of time required.</i> |                                   |  |              |                       |
| 11 REFUND REQUESTED BY:   |                                   |  |              |                       |
| TYPED/PRINTED NAME: <u>Derek L. Woods</u>                                 |                                   | TITLE: <u>Attorney</u>   |              |                       |
| SIGNATURE: <u>Derek L. Woods</u>  |                                   | PHONE: <u>305-0014</u>   |              |                       |
| OFFICE: <u>Petitions</u>  |                                   |  |              |                       |
| *****   |                                   |  |              |                       |
| THIS SPACE RESERVED FOR FINANCE USE ONLY:                                 |                                   |  |              |                       |
| APPROVED: <u>[Signature]</u>  |                                   | DATE: <u>12/23/02</u>  |              |                       |

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to: